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CERTIFICATE OF MAILING  
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

9/17/97  
Date

  
Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Jones et al.

Serial No.: 08/833,095

Filed: April 4, 1997

For: METHOD AND APPARATUS FOR  
DISCRIMINATING AND COUNTING  
DOCUMENTS

Group Art Unit: 2606

Examiner: Unknown

Atty. Dkt. No.: CUMM:183

CC: 60/038,340  
L-1000  
P-1000

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt, a copy of the first page of the specification listing the continuing data as claimed by the applicants (highlighted in yellow) which was omitted from the filing receipt, and a copy of the executed declaration. For the benefit of the Patent Office, the serial no. designated "yy/yyy/yyy" has now been determined to be "60/038,340" as has been indicated on the attached documents.

Because the error is not due to any error by Applicant, no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Assistant Commissioner is hereby authorized to deduct said fee from Arnold, White & Durkee Deposit Account No. 01-2508/CUMM:183.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Paul R. Kitch  
Reg. No. 38,206  
Attorney for Applicants

ARNOLD, WHITE & DURKEE  
P.O. Box 4433  
Houston, Texas 77210-4433

Date: 9/17/97

CHICAGO KIH  
CUMM  
183

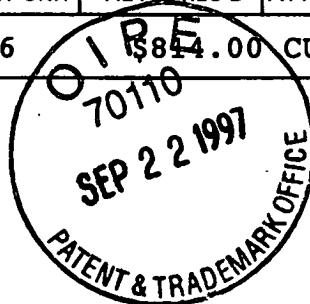
## FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 ASSISTANT SECRETARY AND COMMISSIONER  
 OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/833,095	04/04/97	2606	\$844.00	CUMM:183	22	22	2

STEPHEN G RUDISILL  
 ARNOLD WHITE & DURKEE  
 P O BOX 4433  
 HOUSTON TX 77210



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

WILLIAM J. JONES, KENILWORTH, IL; DOUGLAS U. MENNIE,  
 BARRINGTON, IL.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
 SEE ATTACHED THIS APPLN IS A CIP OF 08/573,392 12/15/95

SHEETS

FOREIGN FILING LICENSE GRANTED 08/23/97  
 TITLE  
 METHOD AND APPARATUS FOR DISCRIMINATING AND COUNTING DOCUMENTS

PRELIMINARY CLASS: 382

Group 2  
1-7  
10/20/97  
[Signature]

STATUS INQUIRY  
 O. G. List

REC'D A.W.D.

SEP - 9 1997

DOCKET DESK

METHOD AND APPARATUS FOR DISCRIMINATING  
AND COUNTING DOCUMENTS

Cross-Reference to Related Applications

- This application claims the benefit of copending United States Provisional Patent  
5 Applications Serial Nos. 60/018,563 filed May 29, 1996 for a "Method and Apparatus  
for Document Identification and Authentication"; 60/034,954 filed January 16, 1997  
for a "Method and Apparatus for Document Processing"; and yy/yyy,yyy filed  
February 27, 1997 for a "Two Pocket Currency Discriminator". *60/038,340.*
- This application is a continuation-in-part of pending United States Patent  
10 application Serial No. 08/573,392 filed December 15, 1995 for a "Method and  
Apparatus for Discriminating and Counting Documents". United States Patent  
application Serial No. 08/573,392 is a continuation-in-part of the following United  
States Patent applications:
- pending application Serial No. 08/399,854 filed March 7, 1995 for a "Method  
15 and Apparatus For Discriminating and Counting Documents";  
pending application Serial No. 08/394,752 filed February 27, 1995 for a  
"Method of Generating Modified Patterns and Method and Apparatus  
for Using the Same in a Currency Identification System";  
pending application Serial No. 08/362,848 filed December 22, 1994, for a  
20 "Method And Apparatus For Discriminating and Counting Documents";  
pending application Serial No. 08/340,031 filed November 14, 1994, for a  
"Method And Apparatus For Discriminating and Counting Documents";  
pending application Serial No. 08/317,349 filed October 4, 1994, for a  
25 "Method And Apparatus For Authenticating Documents Including  
Currency";  
pending application Serial No. 08/287,882 filed August 9, 1994 for a "Method  
and Apparatus for Document Identification";  
pending application Serial No. 08/243,807 filed May 16, 1994, for "Method  
30 And Apparatus For Currency Discrimination"; and  
pending application Serial No. 08/225,660 filed April 12, 1994, for "Method  
And Apparatus For Currency Discrimination".

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR DISCRIMINATING AND COUNTING DOCUMENTS, the specification of which:

X is attached hereto.

— was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

**PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION  
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119(a)-(d)**

I hereby foreign/pct priority benefits under Title 35, United States Code, §119 of any foreign/pct application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S)**

**PRIORITY CLAIMED:**

(Number)	(Country/PCT)	(Date Filed)
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Yes/No

(Number)	(Country/PCT)	(Date Filed)
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Yes/No

**CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATIONS(S)  
(35 U.S.C. § 119(e))**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

**PRIOR APPLICATION NUMBER**

**FILING DATE**

60/034,954

1/16/97

YY/YYYY, YYYY

(60/038, 340)

2/27/97

60/018,563

5/29/96

**CLAIM FOR BENEFIT OF PRIOR U.S. APPLICATIONS(S)  
(35 U.S.C. § 120)**

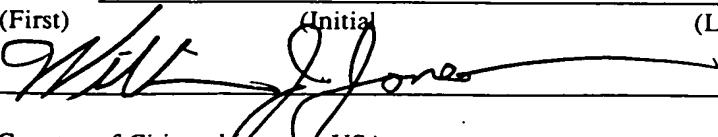
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), regarding events which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/573,392</u> (Application Serial No.)	<u>12/15/95</u> (Filing Date)	<u>Pending</u> (Status)
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I hereby direct that all correspondence and telephone calls be addressed to Stephen G. Rudisill, Arnold, White & Durkee, P.O. Box 4433, Houston, Texas 77210, (312) 744-0090.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name: William J. Jones  
(First) (Initial) (Last)

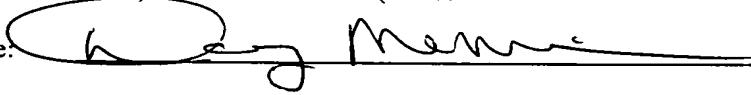
Inventor's Signature: 

Date: 4/3/97 Country of Citizenship: USA

Residence: 631 Brier, Kenilworth, IL 60043  
(Include number, street name, city, state, and country)

Post Office Address: \_\_\_\_\_  
(If different from Residence Address)

Inventor's Full Name: Douglas U. Mennie  
(First) (Initial) (Last)

Inventor's Signature: 

Date: 4/3/97 Country of Citizenship: USA

Residence: 229 Wood Street, Barrington, IL 60010  
(Include number, street name, city, state, and country)

Post Office Address: \_\_\_\_\_  
(If different from Residence Address)

## APPLICATION DIVISION DATA USE ROUTING SLIP

TO: APPLICATION DIVISION  
CUSTOMER CORRECTIONS  
MAILING-IN CP2-7C10 (PALM LOC. 0380)  
WALK-IN CP2-6D05

FROM:

GROUP OR OFFICE	PALM LOC CODE	DATE FORWARDED	APPLICATION SERIALS
2721			08/833,095
PERSON TO CONTACT PHUOC TRAN	FOR AN INQUIRY ON THIS CORRECTION (AFTER 5 WORKDAYS)	PHONE TEAM V	
PHONE NO. 305-4861		308-3610	

## CORRECTION REQUIRED:

Please correct the continuation data as  
shown in the attached sheet.

FOR APPLICATION DIVISION USE:

DATE RECEIVED: \_\_\_\_\_

DATE RETURNED: \_\_\_\_\_

PROCESSED BY: \_\_\_\_\_

DATE THE CORRECTED RECEIPT MAILED: \_\_\_\_\_

## COMMENTS:


THIS APPLN IS A CIP OF 08/573392 12/15/95 PAT 5,790,697  
WHICH IS A CIP OF 08/399,854 03/07/95 PAT 5,875,259  
AND A CIP OF 08/394,752 02/27/95 PAT 5,724,438  
AND A CIP OF 08/362,848 12/22/94 PAT 5,870,487  
AND A CIP OF 08/340,031 11/14/94 PAT 5,815,592  
AND A CIP OF 08/317,349 10/1994 PAT 5,640,463 X-4-94  
AND A CIP OF 08/287,882 08/09/94 PAT 5,652,802  
AND A CIP OF 08/243,807 05/16/94 PAT 5,633,949  
AND A CIP OF 08/226,660 04/12/94  
SAID 08/399,854 03/07/95 PAT 5,875,259  
A CIP OF 08/394,752 02/27/95 PAT 5,724,438  
AND A CIP OF 08/340,031 11/14/94 PAT 5,815,592  
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AND A CIP OF 08/127,334 09/27/93 PAT 5,467,405  
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A CIP OF 08/340,031 11/14/94 PAT 5,815,592  
WHICH IS A CIP OF 08/243,807 05/16/94 PAT 5,633,949  
AND A CIP OF 08/207,592 03/08/94 PAT 5,467,406  
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A CIP OF 08/207,592 03/08/94 PAT 5,467,406  
AND A CIP OF 08/219,093 03/29/94 ABN  
AND A CIP OF 08/127,334 09/27/93 PAT 5,467,405  
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AND A CIP OF 08/127,334 09/27/93 PAT 5,467,405  
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A CIP OF 08/127,334 09/27/93 PAT 5,467,405  
WHICH IS A CON OF 07/885,648 05/19/92 PAT 5,295,196  
WHICH IS A CIP OF 07/475,111 02/05/90 ABN